



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,466	04/27/2001	Ian Malcolm Pendlebury	MFL-002

CONFIRMATION NO. 1871

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

## FORMALITIES LETTER



\*OC000000006215933\*

Date Mailed: 06/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

AS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/18/2001 SHINASS1 00000134 09844466

01 FC:105

130.00 OP



# TRANSMITTAL FORM

Application Serial Number	09/844,466
Filing Date	27-Apr-01
First Named Inventor	Pendlebury
Group Art Unit	2131
Examiner Name	TO BE ASSIGNED
Attorney Docket No.	MFL-002
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

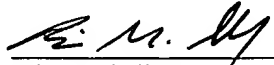
## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]  <input type="checkbox"/> Petition for Extension of Time  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> <b>Copy of Notice to File Missing Parts of Application (PTO 1553)</b>  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> <b>Executed Declaration and Power of Attorney for Utility or Design Patent Application</b>  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> <b>Return Receipt Postcard</b> <input checked="" type="checkbox"/> <b>Certificate of First Class Mailing under 37 C.F.R. 1.8</b>  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> <b>Response to Notice to File Missing Parts</b>  <input checked="" type="checkbox"/> <b>Substitute Drawings (Figs. 1-6)</b>
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: July 11, 2001  
Reg. No. 44,691  
Tel. No.: (617) 310-8085  
Fax No.: (617) 790-0332  
Email: [gaff@tht.com](mailto:gaff@tht.com)  
Brian M. Gaff, Esq.  
Attorney for Applicant  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Pendlebury  
SERIAL NO.: 09/844,466 GROUP NO.: 2131  
FILING DATE: 27-Apr-01 EXAMINER: Not yet assigned  
TITLE: *Network Enabled Application Software System and Method*

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated June 22, 2001, enclosed for filing in the above-referenced application is the following:

- Declaration and Power of Attorney, properly signed.
- A check for \$130.00 for the declaration surcharge.

Also enclosed are substitute drawings (figures 1 through 6, inclusive) in compliance with 37 C.F.R. § 1.84. Please replace the drawings filed with the application with the substitute drawings included herein.

Applicant requests and hereby authorizes the Commissioner to credit any overpayment and charge any underpayment to Deposit Account No. 20-0531.

Date: July 11, 2001  
Reg. No. 44,691

Tel. No.: (617) 310-8085  
Fax No.: (617) 790-0332  
Email: [gaff@tht.com](mailto:gaff@tht.com)

Respectfully submitted,

Brian M. Gaff, Esq.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



Segfor #

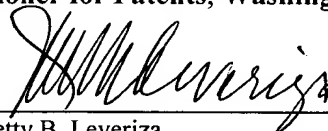
PATENT  
Attorney Docket No. **MFL-002**  
(5407/8)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: **Pendlebury**  
SERIAL NO.: **09/844,466** GROUP NO.: **2131**  
FILING DATE: **27-Apr-01** EXAMINER: **To Be Assigned**  
TITLE: ***Network Enabled Application Software System and Method***

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the **Commissioner for Patents, Washington, DC 20231** on this 11<sup>th</sup> day of July, 2001.

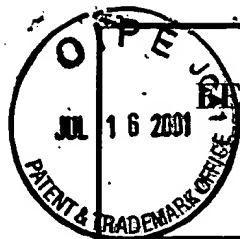
  
Betty B. Leveriza

Commissioner for Patents  
Washington, DC 20231

Sir/Madam:

Submitted are the following:

- (1) Transmittal Form (1 pg.);
- (2) Fee Transmittal Form (1 pg.);
- (3) Copy of Notice to File Missing Parts (PTO-1553) (1 pg.);
- (4) Response to Notice to File Missing Parts (1 pg.);
- (5) Declaration and Power of Attorney for Utility or Design Patent Application (3 pgs.);
- (6) Substitute Drawings (Figures 1-6) (6 sheets);
- (7) a check for \$130.00 for the surcharge; and
- (8) a return receipt postcard.



# FREE TRANSMITTAL FY 2001

Complete if Known	
Application Serial Number	09/844,466
Filing Date	27-Apr-01
First Named Inventor	Pendlebury
Group Art Unit	2131
Examiner Name	To Be Assigned
Attorney Docket No.	MFL-002

METHOD OF PAYMENT		FEE CALCULATION (continued)																					
<b>1. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<b>3. ADDITIONAL FEES</b>																					
<b>2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.</b> <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.																							
<b>3. <input type="checkbox"/> Applicant claims small entity status.</b>																							
<b>FEE CALCULATION</b>																							
<b>1. FILING FEE</b>																							
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<b>TOTAL:</b>																							
<b>SMALL ENTITY DISCOUNT:</b>																							
<b>SUBTOTAL (1) (\$)</b> 0.00																							
<b>2. AMENDMENT CLAIM FEES</b>																							
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<b>SUBTOTAL (2) (\$)</b> 0.00																							
<b>CORRESPONDENCE ADDRESS</b>		<b>SIGNATURE BLOCK</b>																					
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted,  Date: July 11, 2001 Reg. No. 44,691 Tel. No.: (617) 310-8085 Fax No.: (617) 790-0332 Email: <a href="mailto:gaff@tth.com">gaff@tth.com</a> Brian M. Gaff, Esq. Attorney for Applicant Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110																					